



DEFENSE LOGISTICS AGENCY  
HEADQUARTERS  
8725 JOHN J. KINGMAN ROAD  
FORT BELVOIR, VIRGINIA 22060-6221

IN REPLY  
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November 23, 2005

MEMORANDUM FOR: SEE DISTRIBUTION

SUBJECT: Joint Small Arms Coordinating Group Meeting (JSACG), November 15, 2005

The attached minutes of the JSACG meeting are forwarded for your information and appropriate action. The Defense Logistics Management Standards Office point of contact is Ms. Mary Jane Johnson, JSACG Chair, (703) 767-0677, DSN 427-0677, or e-mail: [Mary.Jane.Johnson@dla.mil](mailto:Mary.Jane.Johnson@dla.mil).

A handwritten signature in black ink, appearing to read "Donald C. Pipp".

DONALD C. PIPP  
Director  
Defense Logistics Management  
Standards Office

Attachment

DISTRIBUTION:  
ADUSD SCI  
UID Program Office  
JSACG Representatives and meeting attendees



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MEMORANDUM FOR RECORD

SUBJECT: Joint Small Arms Coordinating Group (JSACG) Meeting, November 15, 2005

The Defense Logistics Management Standards Office (DLMSO) chaired a meeting of the JSACG November 15, 2005, at the McNamara Headquarters Complex, Fort Belvoir, VA. Ms. Mary Jane Johnson, JSACG Chair, facilitated the group's discussions of issues pertaining to the Small Arms Program. The agenda is at Enclosure 1. A list of attendees is at Enclosure 2. Briefings and handouts addressed in these minutes are available as links to the meeting agenda on the DLMSO website at: <http://www.dla.mil/j-6/dlms0/Programs/Committees/JSACG/jsacg.asp>

**Brief Summary of Discussions:**

**1. UNIQUE IDENTIFICATION (UID).** Mr. Charles Lord, OSD UID Program Manager Office, provided the JSACG an update on status of UID policy to include:

a. UID has evolved since the JSACG was last briefed. The UID program has expanded beyond item UID (IUID), and will manage resources within DOD using globally unique identification of people, personal property (tangible items), real property, organizational entities, and sites or locations. Mr. Lord noted that a Standard Financial Information Structure (SFIS) and UID joint concept of operations (CONOPS) is also being developed which identifies two transaction UIDs: Demand UID (DUID) and Allocation UID (AUID). Additionally, the IUID registry, maintained by the Defense Logistics Information System, which was initially considered a static "birth record" registry, has been evolving to capture certain events. Mr. Lord discussed the transition of the DD Form 1662, DOD Property in the Custody of Contractors from a paper form to utilizing the unique item identifier (UII) and IUID Registry. All property in the possession of contractors (PIPC) is required to be in the IUID Registry by Sep 2007.

b. Mr. Lord briefly addressed a draft memorandum intended to make the UII the unique identifier for serial number tracking (SNT), serialized item management (SIM) and unique item tracking (UIT) of items, with the IUID registry as the authoritative source for pedigree data. The memorandum had received some negative response, with the Services poised to non-concur. This issue is of particular interest to the JSACG as small arms is an established DoD program which tracks small arms by NSN and serial number, and has a DoD central registry for small arms serial number information. Mr. Max Westmoreland, UID PMO, stated the UID office will be developing a CONOPS for SNT, SIM, UIT in the near future, in light of the Service reaction to the draft memorandum.

Attachment

c. The UID office is interested in loading UII information for legacy SNT, SIM, and UIT program items into the IUID registry. Mr. Lord offered the services and technical assistance of the UID PMO to the JSACG representatives to assist in exploring ways to accomplish this for small arms.

d. Ms. Kathleen Row, Navy, addressed that the use of contact memory buttons (CMB) for IUID markings on small arms continues to be surfaced, primarily by vendors, as an acceptable method for IUID marking in lieu of 2D matrix. Mr. Lord stated absolutely that CMB does not meet UID policy marking requirements which call for use of data matrix. The UID website provides policy and guidance at: <http://www.acq.osd.mil/dpap/UID>.

e. Ms. Johnson, JSACG Chair, noted that logistics policy for the Small Arms Serialization Program is contained in DOD 4140.1-R, Supply Chain Materiel Management Regulation (<http://www.dtic.mil/whs/directives/corres/html/41401r.htm>). Current logistics policy calls for tracking small arms by national stock number and serial number.

## **2. IUID MARKING PILOT PROJECT FOR M9 AND M240 WEAPONS.**

Mr. Sid Kemmis, AMC Executive Agent Small Arms Logistics, and Mr. Mike Friedman, Project Manager Soldier Weapon office, provided a briefing on a pilot project for marking M9 pistols and M240 medium machine guns at Anniston Army Maintenance Depot with IUID data matrix. Phase I involved a requirements study which began in August 2005. Phase II involves a demonstration and test which began November 14, 2005. In Phase II, 30 each M240 and M9 weapons will be marked utilizing multiple marking processes and materiel. Phase II includes electronically updating DOD databases, and testing for durability and readability. The goal is to determine the best marking methods and location for the mark; develop marking, reading, verification and data transfer times; and establish average cost per weapon. The Phase III Initial Operation Capability (IOC) is scheduled to begin in mid-March 2006 and will involve marking weapons at Anniston based on the Phase II findings. The current plan is to mark weapons at the Anniston maintenance depot only. It is believed marking in the field would not be practical or cost effective.

**3. UPDATE ON THE UNITED NATIONS (UN) INSTRUMENT ADDRESSING THE MARKING, RECORD KEEPING, AND TRACING OF SMALL ARMS AND LIGHT WEAPONS (SA/LW).** Ms. Mandi Tuttle, AT&L/DS Treaty Compliance Office, and Mr. Sid Kemmis, Army Executive Agent Small Arms, provided an update on the international instrument to identify and trace illicit SA/LW. In 2001, the UN Program of Action to prevent, combat and eradicate the illicit trade in SA/LW identified tracing of illicit weapons as a key mechanism for control. A working group was established to negotiate an international instrument to enable states [i.e., countries] to mark and trace illicit SA/LW and facilitate international cooperation, while not restricting self-defense. Mr. Kemmis noted that the marking requirements established posed no additional burden on DOD. Instrument requirements include: acknowledge receipt of tracing request; provide relevant information; and inform requesting state of reasons for delay or refusals. Several issues associated with the instrument remain open, one of which is who will serve as the tracing point of contact for DOD. Some possibilities mentioned were the State Department; the Bureau of Alcohol, Tobacco, and Firearms; and the DOD Small Arms Registry.

#### **4. DOD SMALL ARMS REGISTRY INVESTIGATIVE INQUIRIES**

**UPDATE.** Mr. Chuck Royal and Mr. William Chaplow, Army LOGSA, presented an overview of the small arms investigative inquiries processed at the DoD Small Arms Central Registry. The inquiries are received from various civil and Federal law enforcement agencies, as well as Component field offices. Fiscal year (FY) 2005 investigative inquiries were up 16% over FY 2004. The statistics for FY 2005 indicated an overall match rate of 49 percent. Matches can only be achieved for items that were at some point registered on the DoD Small Arms registry.

#### **5. COMPONENT UPDATES ON DLMS IMPLEMENTATION STATUS.**

**BACKGROUND:** In December 2003 OSD directed elimination of MILS and implementation of DLMS not later than December 31, 2004, and directed DOD Components to submit migration plans. Component certifications of systems that would be DLMS compliant by January 1, 2005, or identification of those that will not be compliant, was due September 15, 2004. Components would request waivers for non-compliant system. Ms. Johnson had requested that the JSACG representatives touch base with their modernization offices prior to the meeting to provide an update regarding the status of Component implementation of DLMS. The DLMS includes the 140A (Small Arms Reporting) and 888A (Small Arms Data Change) transactions which incorporate the functionality of the MILSTRAP Small Arms Document Identifier (DI) Code DS\_ series transactions. **DISCUSSION:** Mr. George Gray, Defense Logistics Agency (DLA), reported that DLA Distribution Standard System (DSS) was prepared to send the DLMS 140A and 888A transaction. It was noted that the Defense Automated Addressing System (DAAS) can translate the DLMS transactions back to MILS for interface customers who are not yet DLMS compliant, but that any extra DLMS data not available in MILS, such as UII, would be lost when converting to the 80 record position MILS transactions. Not all of the Services were certain of their status of DLMS implementation. Ms. Johnson noted that that was understandable as not all Services had submitted their required DLMS implementation plans to OSD yet. She agreed to check on the status of Component DLMS implementation information provided to DLMSO to date and provide the status in the minutes. **Subsequent to the meeting, the chair obtained the following information:**

- Army has not submitted a migration plan. Army has indicated in recent messages that they intend to become DLMS compliant and will at some time submit a plan. No DLMS extension waiver requested.
- Air Force has not submitted a migration plan. No DLMS extension waiver requested.
- Navy has submitted a plan but intend to implement OASIS vice DLMS X12/XML. No DLMS extension waiver requested.
- USMC has submitted a plan stating they will migrate to the DLMS, but they have indicated 2010 for full implementation.
- DLA has submitted a plan and has received an approved waiver for full DLMS compliance until October 2006. DLA is basically following the BSM schedule. However, DLA DSS is already fully DLMS compliant.

## 6. DLMS PROPOSED CHANGES IN PROCESS:

**a. DRAFT PDC 147A, DLMS UIT Procedures.** DLMSO released DRAFT PDC 147A to the UIT Committee (UITC) and JSACG prior to formal staffing with the Components through the Supply Process Review Committee (SPRC). The DLMS small arms tracking procedures, comparable to the MILSTRAP procedures only using DLMS transactions, can be found in DoD 4000.25-M, DLMS, Volume 2 (Supply), Chapter 18. The DLMS UIT procedures use standard logistics transactions (e.g., 527R Receipt, 856S Shipment Status, 867I Issue, etc.) for conveying serial number and/or UII data, and will be published in DLMS, Volume 2, Chapter 19, after staffing and approval through the SPRC. No significant comments to the draft PDC were received from the JSACG or UITC, accordingly the chair will finalize the PDC for formal Component staffing through the SPRC.

**b. PDC 197, Visibility and Traceability for U.S. Weapons Purchased or Produced Under a DOD Contract and Shipped Directly to Security Assistance or Other Customers Outside of the DOD.** PDC 197 is currently in staffing with the Components through the SPRC with comments due December 2, 2005. PDC 197 provides procedures for registering weapons when a DOD agency assumes title and accountability for U.S. weapons purchased or produced under a DOD contract then shipped directly to Security Assistance or other customers outside of the DOD. The purpose is to ensure that all weapons are reported and registered when the DOD assumes title and accountability. The current procedures published in DLMS and MILSTRAP did not expressly address this situation, and that absence of guidance was subject to different interpretations. Ms. Johnson requested the JSACG review the change closely and provide any comments by December 2, 2005, or request an extension if more time was needed. PDCs are available from the DLMSO website at: <http://www.dla.mil/j-6/dlms/eLibrary/changes/proposed.asp>.

## 7. STATUS OF AIR FORCE SMALL ARMS RECONCILIATION AT DEFENSE DEPOT ANNISTON ALABAMA (DDAA).

**a.** Ms. Johnson had asked the US Air Force (USAF) to provide status on the USAF small arms reconciliation with DLA at DDAA, however the USAF JSACG representative had only recently been assigned JSACG responsibility, and was unable to participate in the meeting.

**b.** In the absence of USAF representation, Ms. Johnson asked the DLA JSACG representative, Mr. George Gray, J-3731, for an update on the reconciliation effort from the DLA depot perspective. Mr. Gray provided the following background information: The annual records reconciliation between the depot and USAF had not been performed in years for a variety of reasons to include differing views between USAF and DLA on what constituted a reconciliation; what services were included in a 1995 memorandum of agreement (MOA) to transition small arms storage; and lack of funding for sight verification. During the initial June 2005 records reconciliation attempt, only 7% of records matched between USAF and the DDAA Distribution Standard System (DSS). It was determined this low match rate was partly attributable to the USAF migration of small arms records from their D184 system to the Air Force Equipment Management System (AFEMS). AFEMS personnel noticed DSS had active records for

assets that AFEMS had moved to inactive based on DODAACs when transitioning from D184. After resolving this disparity, the resulting reconciliation between AFEMS and DSS records yielded a 49% match rate.

c. All parties agree that accountability for small arms is critical. USAF and DLA are making a concerted joint effort to resolve this issue and address resources necessary to conduct a sight verification of the USAF weapons at DDAA to establish a valid baseline. Mr. Gray noted that the new USAF JSACG representative, SMSgt. Patricia Lewis, HAF/ILGM, is actively engaged in this effort.

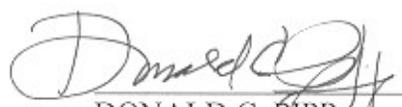
**8. DATA MANAGEMENT COURSE.** Ms. Shirley Grosner, OSD Treaty Compliance Office, provided information on an upcoming Data Management Course. The course goal is to provide a working knowledge of the various conventional treaty/agreement information exchanges conducted by the United States. This course focuses on the DOD data compilation process, and the DOD agencies and offices that are involved in that process. Specifically, their mission and role in information exchanges. This is the second offering of the course. The first was a pilot offering in January 2005 which some JSACG participants attended. This next class will be held in May 2006. Ms. Grosner invited the JSACG to attend and to share the course information with coworkers or others that may be interested.

**9. WRAP-UP, NEXT MEETING:** The Chair thanked the participants for their attendance, continued support, and contributions to the JSACG. The next annual JSACG meeting will be scheduled for November 2006.

PREPARED BY:

  
MARY JANE JOHNSON  
JSACG Chair

APPROVED:

  
DONALD C. PIPP  
Director, DLMSO

Enclosures

# AGENDA

## Joint Small Arms Coordinating Group (JSACG) Meeting

November 15, 2005, beginning at 0900  
Andrew T. McNamara Complex, Room 3501

#	TOPIC	LEAD
0900	Opening Remarks	<b>Ms. Mary Jane Johnson</b> DLMSO/JSACG Chair
1	<b>BRIEFING: Unique Identification (UID) Overview and Update</b>	<b>Mr. Charles Lord</b> OUSD AT&L UID Program Manager Office
2	<b>BRIEFING: IUID Marking Pilot Project for M9 and M240 weapons.</b>	<b>Mr. Sid Kemmis</b> Army /AMC Executive Agent Small Arms Logistics  <b>Mr. Mike Friedman</b> Project Manager Soldier Weapons
3	<b>BRIEFING: Update on the U.N. instrument addressing the marking, record keeping, and tracing of small arms.</b>	<b>Ms. Mandi Tuttle</b> AT&L/DS Treaty Compliance Office  <b>Mr. Sid Kemmis</b> Army /AMC Executive Agent Small Arms Logistics
4	<b>STATISTICS FOR SMALL ARMS INVESTIGATIONS - FY 2005</b>	<b>Mr. Bill Chaplow</b> <b>Mr. Chuck Royal</b> US Army LOGSA DoD Small Arms Registry
5	<b>Component updates on status of DLMS implementation.</b> <b>Objective:</b> Update regarding the status of Component implementation of DLMS. The DLMS includes the 140A (Small Arms Reporting) and 888A (Small Arms Data Change) transactions which equate to the functionality of the MILSTRAP Small Arms DS_ series transactions.	<b>JSACG Representatives</b>
6	<b>DLMS Changes In Progress Related to JSACG</b> <b>Objective:</b> Review current status. Discuss any comments received.  <b>DRAFT PDC 147A</b> , DLMS UIT Procedures  <b>PDC 197</b> , Visibility and Traceability for U.S. Weapons Purchased or Produced Under a DoD Contract and Shipped Directly to Security Assistance or Other Customers Outside of the DoD	<b>DLMSO</b>
7	Update on the Air Force Small Arms Reconciliation at Anniston Depot ( <i>TENTATIVE-not confirmed by USAF</i> )	USAF-Unable to attend <b>Mr. George Gray -DLA</b>
8	<b>Data Management Course</b>	<b>Ms. Shirley Grosner</b> OSD Treaty Compliance Office
9	<b>Wrap-up, Adjourn</b>	<b>DLMSO</b>